



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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NonfleasINFORMATION DISCLOSURE STATEMENTIn re Application of: Stephen H. Foulger, Ph.D., et al.Attorney Docket No.: CXU-343Serial No.: 09/998,922Date: February 19, 2003Filed: November 30, 2001Art Unit: 2873Confirmation No.: 5372Our Account No.: 04-1403Title: Chemical Compositions Comprising Crystalline Colloidal Arrays

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1.[X] Attached hereto is:

- a.[X] A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b.[X] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):  
2 item(s)
- c. [ ] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: \_\_\_\_\_

[ ] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2.[x] This Information Disclosure Statement is being filed [CHECK ONE]:

- a.[x] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. [ ] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
  - i. [ ] Certification per Rule 97(e); OR
  - ii. [ ] Filing Fee per Rule 17(p) .....\$180.00
- c. [ ] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
  - i. Certification per Rule 97(e); AND
  - ii. Filing fee per Rule 17(p).....\$180.00

3. [ ] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. [ ] That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. [ ] That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_  
Address: \_\_\_\_\_

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4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

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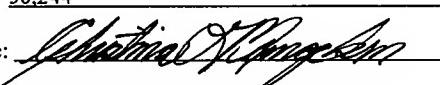
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<p style="text-align: right;">OCT 2002 FEB 24 2003 Under 37 CFR Section 1.98(a) (1) TRADEMADE several sheets if necessary)</p>	Attorney Docket Number	Serial Number
	CXU-343	09/998,922
	Applicant	
	Stephen H. Foulger, Ph.D., et al.	
Filing Date:	Group	
November 30, 2001	2873	
Confirmation No.:		
5372		

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USSN \_\_\_\_\_, filed \_\_\_\_\_;  
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#### U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE
	Asher, et al.	5	8	5	4	0	7	8	12/29/1998	

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE
				YES	NO	N/A	

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS			COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication			
	PCT Search Report		12/02/2002	
EXAMINER	DATE CONSIDERED			

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